

12/21/01

JC931 U.S. PTO

12-28-01

JC927 U.S. PTO

10/028/45

12/21/01

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Pocket No. : 47630/DBP/C664
Inventor(s) : Mark J. Owens; Chia Seiler; Brian Robert Brown; Mark Henry Bonwick;
Quentin Scott; Robert Goh; and Ross Halgren
Title : WDM ADD/DROP MULTIPLEXER MODULE
Express Mail Label No. : EL903022852US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Date: December 21, 2001

1. ☒ **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).
2. **IF A CONTINUING APPLICATION**
____ This application is a _____ of patent application No. ____
Prior application information: Examiner ; Group Art Unit: _____
____ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4),
to provisional Application No. ____
3. **APPLICATION COMPRISED OF**
Specification
 25 Specification, claims and Abstract (total pages)
Drawings
 11 Sheets of formal drawing(s) (FIGS. 1 to 11)
Declaration and Power of Attorney
 ____ Newly executed
 ☒ Unexecuted declaration
 ____ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)
4. ____ **Microfiche Computer Program** (Appendix)
5. ____ **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all necessary)
 ____ Computer Readable Copy
 ____ Paper Copy (identical to computer copy)
 ____ Statement verifying identity of above copies
6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**
____ Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL
ENTITY

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.: 47630/DBP/C664

7. ALSO ENCLOSED ARE

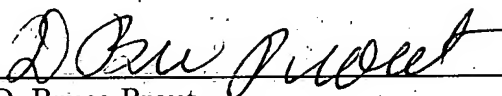
- ☐ Preliminary Amendment
- ☐ Includes "Cross-Reference to Related Applications"
- ☐ A Petition for Extension of Time for the parent application and the required fee are enclosed
- ☐ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed
- ☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- ☐ Information Disclosure Statement (IDS)/PTO-1449
- ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☐ Other

8. CORRESPONDENCE ADDRESS.

CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068
Customer Number: 23363

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By 

D. Bruce Prout
Reg. No. 20,958
626/795-9900

DBP/aam

**FEE TRANSMITTAL
UTILITY PATENT APPLICATION**

DATE: December 21, 2001

Docket No. : 47630/DBP/C664

Inventor(s) : Mark J. Owens; Chia Seiler; Brian Robert Brown; Mark Henry Bonwick;
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Title : WDM ADD/DROP MULTIPLEXER MODULE

Duplicate

FEE DETERMINATION

CLAIMS AS FILED					
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	FEE
TOTAL CLAIMS	46+27 - 20	53	x \$9.00	53 x \$18.00	\$954.00
INDEPENDENT CLAIMS	4 - 3	1	x \$42.00	1 x \$84.00	\$84.00
MULTIPLE-DEPENDENT CLAIMS FEE			\$140.00	\$280.00	\$280.00
BASIC FEE			\$370.00	\$740.00	\$740.00
TOTAL FILING FEE					\$2,058.00
List Independent Claims: 1, 19, 23, and 35					

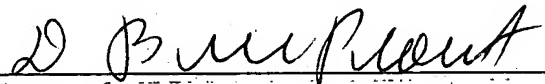
METHOD OF PAYMENT

- ☒ No filing fee enclosed
- ☒ No Deposit Account Authorization.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By



D. Bruce Prout
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626/795-9900

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